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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/756,095	01/08/2001	Lloyd G. Mitchell	A31304-B-A-A

**CONFIRMATION NO. 5645**

21003  
 BAKER & BOTTS  
 30 ROCKEFELLER PLAZA  
 NEW YORK, NY 10112

**FORMALITIES LETTER**


\*OC00000006406574\*

Date Mailed: 08/10/2001

**NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**
***Filing Date Granted***

The U.S. Patent and Trademark Office has received your reply on to the Notice mailed and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
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*A copy of this notice **MUST** be returned with the reply.*

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**PART 2 - COPY TO BE RETURNED WITH RESPONSE**



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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Mitchell et al

Serial No. : 09/756,095      Examiner : TBD

Filed : January 8, 2001      Group Art Unit: TBD

For : METHODS AND COMPOSITIONS FOR USE IN SPLICEOSOME  
MEDIATED RNA TRANS-SPlicing

RESPONSE TO NOTICE OF INCOMPLETE REPLY

I hereby certify that this paper is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

October 2, 2001

Date of Deposit

Carmella I. Stephens

Attorney Name

41,328

PTO Registration No

Carmella I. Stephens

Signature

October 2, 2001

Date of Signature

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the Notice of Incomplete Reply, mailed August 10, 2001, Applicants submit the following Response to Notice of Incomplete Reply. Applicants also submit herewith a Sequence Listing in computer and paper form in accordance with 37 C.F.R. §1.821-1.825.

IN THE SPECIFICATION

Please substitute the attached specification.

REMARKS

Applicants submit herewith a Sequence Listing in computer and paper form, in accordance with 37 C.F.R. §1.821-1.825. The content of the paper and computer readable copies of the Sequence Listing submitted in accordance with 37 C.F.R. §1.821(c) and (e) are the same and do not include new matter.

The substitute specification including sequence identifiers appear in the preceding "IN THE SPECIFICATION" section. Attached hereto is a marked-up version of the changes made to the specification paragraphs. The attached page is captioned "VERSION WITH MARKINGS TO SHOW CHANGES MADE" and is only included for the Examiner's convenience. Should any discrepancies be discovered, the version presented in the preceding "IN THE SPECIFICATION" section shall take precedence.

CONCLUSION

Please charge any fees associated with this filing or credit any overpayment to  
Deposit Account No. 02-4377. Two copies of this paper are enclosed.

Applicants enclose a copy of the Notice of Incomplete Reply.

Respectfully submitted,

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